

ACCESSING INFORMATION FREQUENTLY ASKED QUESTIONS (FAQs)

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<u>Data Protection FAQs – Subject Access Requests (personal data).</u>

Freedom of Information (FOI) Requests FAQs.

Subject Access Requests – SAR:

- 1. What is personal data?
- 2. What is the DPA and GDPR?
- 3. What is a Subject Access Request (SAR)?
- 4. How does it differ from the Freedom of Information Act?
- 5. How do I access my personal data via a SAR?
- 6. <u>As a council employee, what should I do if I receive a SAR from a member of the public?</u>
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- 9. What if I want to access someone else's information?
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1. What is personal data?

Personal data is information that identifies a living individual, and which is computerised or is held in a highly-structured filing system. Personal data also includes expressions of opinions about the individual and intensions towards individual. Personal data is protected by the General Data Protection Regulation (GDPR) 2018 and Data Protection Act (DPA) 2018.

2. What is GDPR and the Data Protection Act?

The General Data Protection Regulation (2018) is a European-wide law which sets out the rules that organisations must abide by when they process people's personal data. It came into effect on 25 May 2018. Brexit will not effect this new legislation.

The Data Protection Act 2018 compliments the General Data Protection Regulation, by setting out definitions within the UK.

GDPR applies to all organisations, not just public authorities like Brighton and Hove City Council. The Regulation states that anyone who processes personal information must comply with six principles, which make sure that personal information is:

- Fairly, lawfully and transparently processed
- Processed for Specified, explicit, limited purposes
- Adequate, relevant and not excessive
- Accurate and up to date
- Not kept for longer than is necessary
- Kept secure

3. What is a Subject Access Request (SAR)?

You have a right to access your personal data under the General Data Protection Regulation 2018. You can make a request in writing or verbally known as a Subject Access Request (SAR).

4. How does it differ from the Freedom of Information Act?

The Freedom of Information (FOI) Act 2000 relates to accessing information from public authorities regarding the work they do and not about personal data i.e. a request for information on the number of parking tickets distributed by BHCC. An FOI request should be directed by email to freedomofinformation@brighton-hove.gov.uk.

5. How can I access my personal data via a SAR?

As stated above, a SAR can be made in writing or verbally. This can be in the form of a letter, email, fax, via telephone or face to face and does not need to specifically mention GDPR, DPA or 'Subject Access Request'. A BHCC template is available to aid us in processing the SAR, but it is not mandatory. Requesters will need to provide their full name, date of birth, address and the information they are requesting as a minimum to enable us to process the request.

Unless requests requests are made directly to a Council department which can verify their identify, requestors must provide proof of ID. Requesters must provide proof of identity before any information is released; this is to ensure that the information is not inadvertently released to the wrong person. This can be in the form of a copy of a driving licence, passport, or recent utility bill (less than 3 months old).

There is no fee for submitting a Subject Access Request.

6. As a council employee, what should I do if I receive a SAR from a member of the public?

Send the SAR, along with any other documentation (proof of ID) to the <u>Information</u>

<u>Governance Officer</u>, Information Governance Team, ICT. The SAR will be processed and allocated to the relevant department to complete the request.

7. How long does it take?

The legal requirement is for the request to be completed within one calendar month from the day after the request has been received. So if a request was received on 1st September 2018, it would be due 2nd November 2018.

8. Will I get a copy of all my personal data that I have requested?

Mostly yes; however, there are exemptions under the General Data Protection Regulation that prevent certain records being released, or that require information to be redacted (removed). The main exemptions are as follows:

- Law enforcement catching or prosecuting offenders.
- National security.
- Assessing or collection of taxes/duties.
- Adoption records and reports.
- Personal data about someone else, otherwise known as third party information.
- Information which may cause harm or distress to the requester.
- Information that would identify someone who has supplied data in confidence about you.
- Legal Professional Privelege.

In circumstances where data held on you also relates to another person, the Council will need to seek their permission before this information can be released or the information will be redacted.

9. What if I want to access someone else's information?

You only have right to access your own personal data under the Data Protection Act. You do not have a right of access personal data about any other individual e.g. your family, friends or neighbours, except in the following circumstances:

If you are a parent requesting information about a child under 16 that you have legal responsibility for; there is no automatic right to the data. If a child is old enough to give informed consent and understands the contents of the information, the Council will be guided by their wishes. In most cases children aged 13 and above are regarded as having sufficient maturity to respond to such requests but each case will be judged on its own merits. In all cases disclosure would only occur if it is in the best interests of the child.

There are some instances where individuals can access inforamtiobn about third parties:

- Consent from the individual(s) to have their information shared
- A solicitor is requesting information on behalf of a client a signed authority form from the person concerned is required.
- An agent (i.e. a family member, friend or a charitable organisation) has written authorisation to act on behalf of the person.

10. If the Police approach us for information, under what circumstances should we provide it?

There is an exemption under the General Data Protection Regulation that can be applied if the police need some information to prevent or detect crime, or catch or prosecute a suspect. However, there are limits on the information that can be released. Any requests for disclosure must be forwarded to Data Protection Mailbox.

11. What about records about deceased people?

The Regulation only applies to personal information about a living individual. You may access information about deceased individuals through other legislation, please contact the Data Protection Mailbox for more information.

12. What about Data from pre-1997 before Brighton and Hove

became a unitary authority?

Personal data of clients living in the Brighton and Hove area, relating back to when

Brighton and Hove were administered by East Sussex County Council (ESCC) prior to

1997, was transferred to BHCC. However, if the records are not located, your query may

need to be redirected to East Sussex County Council Data Protection team (ESCC Data

Protection Website).

13. What about fostering and adoption records?

Residents of Brighton and Hove requesting access to their adoption records must request

these through the Fostering and Adoption Service, Brighton and Hove Council

(fostering.adoption@brighton-hove.gov.uk, 01273 295444). Non-residents of Brighton and

Hove must apply via their local authority.

Any person may request access to their own foster care records, whilst they were in care

within Brighton and Hove, by making a regular subject access request, as per question 5.

14. How do I appeal?

If you are dissatisfied with information you have received, you can request a review, where

the request and response is reviewed. Following that, if you remain dissatisfied with the

Council's response, you are entitled to appeal the matter to the Information

Commissioner's Office. The ICO is the national regulating agency for matters associated

with data protection and may undertake to investigate on your behalf. Should you wish to

avail yourself of this option, the ICO can be contacted in the following ways:

Website: http://www.ico.org.uk

Phone: 0303 123 1113

Post: Information Commissioner's Office

Wycliffe House

Water Lane

Wilmslow

Cheshire

SK9 5AF

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15. Where do I get help from within BHCC?

Advice or assistance can be sought by contacting us below

Email: <u>data.protection@brighton-hove.gov.uk</u>.

Telephone: 01273 295959

Post: Information Governance Team

Brighton & Hove City Council 4th Floor, Bartholomew House

Bartholomew Square Brighton BN1 1JE

Freedom of Information – FOI:

- 1. What is the Freedom of Information Act 2000?
- 2. How do I apply?
- 3. What are EIRs?
- 4. How does it differ from a Data Protection Subject Access Request (SAR)?
- 5. How long does it take?
- 6. <u>As a council employee, what should I do if I receive a request from a member of the public?</u>
- 7. What exemptions are there?
- 8. How do I appeal?
- 9. Where do I get help from within BHCC?

1. What is the Freedom of Information Act 2000?

The Freedom of Information (FOI) Act 2001 provides a statutory right to access information from public authorities, including Central and Local Government, Parliament, NHS, schools, universities, the police and others.

2. How do I apply?

An FOI request must be made in writing and can be accepted via email, letter, fax or through the Brighton and Hove website. The requester is **not** required to provide proof of identification or make a payment or specify the act in the request.

3. What are EIRs?

Environmental Information Regulations (EIRs) are request made to public authorities requesting information regarding environmental data. The request is similar to an FOI, but specifically related to environmental issues and can be made in writing or verbally and must be completed within 20 working days. The reporting period may be extended to 40 working days if the information is particularly complex. An EIR request is processed by BHCC in the same was as an FOI request.

4. How does an FOI differ from a Data Protection Subject Access Request (SAR)?

The General Data Protection Regulation 2018 relates to accessing personal information from organisations, for example, a person wishing to access their housing tenancy file. A request for personal information via the Freedom of Information Act will be declined as a legal exemption; however, the request will be re-directed to the Information Governance Officer and it will be processed in accordance with the General Data Protection Regulation.

5. How long does it take?

An FOI request must be responded to within 20 working days, as specified in the act.

6. As a council employee, what should I do if I receive a request from a member of the public?

Forward any relating information you receive as soon as possible to the <u>Freedom of Information mailbox</u> or through the internal mail to the Information Compliance Officer, Information Governance Team, ICT. The request will be processed and distributed to the necessary team to compile the information.

7. What exemptions are there?

There are 23 exemptions which prevent information being released under the act. These exemptions fall within 2 categories – Absolute and Qualified exemptions.

Absolute exemptions prevent the information from being released without any further justification. Qualified exemptions can only be applied if it is within the public's interest to withhold the information.

The most frequent exemptions used by BHCC include:

Absolute Exemptions – public interest test does not apply:

Section of the FOI Act	Exemption
S(2) 21	Information accessible by other means (e.g. in the Publication Scheme)
S(2) 32	Court records, and information held in relation to court proceedings
S(2) 40 (1)	Personal information – available under Data Protection Act
S(2) 41	Information provided in confidence

S(2) 44	Information whereby disclosure is prohibited by an enactment or would
	constitute contempt of court

Qualified Exemptions – public interest test does apply:

Section of the FOI Act	Exemption
S(2) 22	Information intended for future publication
S(2) 30	Investigations & proceedings conducted by public authorities
S(2) 36	Prejudice to effective conduct of public affairs
S(2) 38	Health & Safety
S(2) 39	Environmental information
S(2) 40 (2)	Personal information relating to a third party
S(2) 42	Legal professional privilege
S(2) 43	Commercial interests

8. How do I appeal?

If you are dissatisfied with information you have received, you can request an Internal Review for an independent review of the request. Following that, if you remain dissatisfied with the Council's response, you are entitled to appeal the matter to the Information Commissioner's Office. The ICO is the national regulating agency for matters associated with data protection and may undertake to investigate on your behalf. The ICO can be contacted in the following ways:

Website: http://www.ico.org.uk Phone: 0303 123 1113

Post: Information Commissioner's Office

Wycliffe House Water Lane Wilmslow Cheshire SK9 5AF

9. Where do I get help from within BHCC?

Advice or assistance can be sought from the freedomofinformation@brighton-hove.gov.uk mailbox.